# POSZ & BETHARDS, PLC

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Date: 03/20/2003

Pages: 1 of .7

To: Examiner Dana Farahani

From: David Posz

Company:

USPTO, Technology Center 2800

Fax No.:

703-872-9318

Applicant(s): SUZUKI et al

Application No.: 09/675,209

Filed: September 29, 2000

Title: SEMICONDUCTOR DEVICE AND

METHOD FOR MANUFACTURING

THE SAME

Attorney Docket No.: 01-085-RCE

Group Art Unit: 2814

Examiner: FARAHANI, DANA

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		· · · · · · · · · · · · · · · · · · ·		This Form Based on PTO/SB/21		
TRANSMITTAL		Application Number 0		09/675,209		
FORM		Filing Date	Septe	mber 29, 2000		
(to be used for all correspondence after initial filing)		First Named Inventor	SUZU	Kl et al.		
* 1		Group Art Unit	2814			
		Examiner Name	FARA	HANI, DANA		
, ·		Attorney Docket Number	01-08	5-RCE		
	ENCLOS	URES (check all that apply)	)			
X Fee Transmittal Form	As	signment Papers or an Application)		After Allowance Communication to Group		
X Fee Attached	Dr	awing(s)	$\boxtimes$	Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Lik	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	) LJ (P	rition Routing Slip TO/SB/69) and Accompanying etition		Proprietary Information		
Affidavits/declarati	/s\     To	Convert a covisional Application		Status Letter		
Extension of Time Request		ower of Attorney, Revocation nange of Correspondence Idress		Additional Enclosure(s) (please identify below):		
Everage Abandonment		erminal Disclaimer				
Information Disclosure		nall Entity Statement				
Certified Copy of Priority Document(s)	Certified Copy of Priority Re			FAX RECEIVED		
Response to Missing Parts/ Remarks		3		MAR 2 0 2003		
				TECHNOLOGY CENTER 2800		
Response to Missi Parts under 37 CF 1.52 or 1.53	ng R		-			
	SIGNATURE OF	APPLICANT, ATTORNEY, O	R AGEN	т		
Firm or Individual name						
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Date March 20, 2003						
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Type or printed name David G. Posz						
Signature		13	Date	March 20, 2003		

PTC/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#### FEE TRANSMITTAL Complete if Known Application Number 09/675,209 for FY 2003 Filing Date September 29, 2000 SUZUKI et al. 下科人 First Named Inventor Patent fees are subject to annual revision. **FARAHANI, DANA Examiner Name** 2814 Group/Art Unit Applicant claims small entity status. See 37 CFR 1.27 01-085-RCE ECHNOLOGY CENTER 28 00 TOTAL AMOUNT OF PAYMENT (\$) 320 Attorney Docket No.

METHOD OF PAYMENT (check one) FEE CALCULATION (continued)						
		3. ADDITIONAL FEES				
ine Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:			Small E		Fee Description	Fee Pald
Deposit	Fee Code	Fee (8)	Fee Code	Fee (\$)		
Account SO-1147	1051	130	2051	65	Surcharge - late filing fee or cath	
Number	1052	50	2052	25	Surcharge – late provisional filing lee or	
					cover sheet.	<u> </u>
Deposit Account Name POSZ & BETHARDS, PLC	1053	130	1053	130	Non-English specification	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	1812	2,520	1812	2,520	For filing a request for reexamination	
2. Payment Enclosed:	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Check Money Other	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BAŞIÇ FILING FEE	1252	410	2252	205	Extension for reply within second month	
Large Entity Small Entity	1253	930	2253	460	Extension for reply within third month	
Fee Fee Fee Fee Description	1254	1450	2254	725	Extension for reply within fourth month	
Code (\$) Code (\$) Fee Pald  1001 750 2001 375 Utility filing fee	1255	1970	2255	985	Extension for reply within fifth month	
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal	320
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1004 750 2004 375 Reissua filing fee	1403	280	2403	140	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	·
CUDTOTAL (1)	1452	110	2452	55 650	Petition to revive — unavoidable	
SUBTOTAL (1) (\$) 0	1453	1,300	2453	650	Petition to revive – unintentional	
2. EXTRA CLAIM FEES	1501	1300	2501	650	Utility Issue fee (or reissue)	
Extra Claims Below Fee Peid	1502	470	2502	235	Design issue fee	
Total Claims -20"= 0 × 18 = 0	1503	630	2503	315	Plant Issue fee	
Independent - 3"- 0 × 84 = 0	1480	130	1460	130	Petitions to the Commissioner	
Multiple Dependent	1807	50	1807	50	Petitions related to provisional applications	
or number previously paid, if greater, For Reissues, see below	1806	180	1808	180	Submission of information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (8) Code (8)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	376	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 280 2203 140 Multiple dependent claim, if not paid						
1204 84 2204 42 **Relasue independent claims over original patent	Other f	iee (spec	ify) _			1
1205 18 2205 9 "Reissue claims in excess of 20 and over original patent"  Other fee (specify)						
SUBTOTAL (2) (S) 0 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (S) 320						

SUBMITTED BY		Complete (If applicable)		
Name (Print/Type)	DAVID G. POSZ	Flagistration No. (Althomy/Agent) 37,701	Telephone	(703) 707-9110
Signature	Davis		Date	March 20, 2003

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PTC/SB/17 (10-01)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#### FEE TRANSMITTAL Complete If Known Application Number 09/675,209 for FY 2003 Filing Date September 29, 2000 First Named Inventor SUZUKI et al. Patent fees are subject to annual revision. <u>FARAHA</u>NI, DĀÑĀ<sup>X</sup> **Examiner Name** Group/Art Unit 2814 Applicant claims small entity status. See 37 CFR 1.27 MAR 2 0 2003 TOTAL AMOUNT OF PAYMENT 01-085-RCE (\$) 320 Attorney Docket No. METHOD OF PAYMENT (check one) FEE CALCULATION (continued) The Commissioner is hereby authorized to charge indicated ADDITIONAL FEES fees and credit any overpayments to: Large Entity **Small Entity** Fee Description Fee Paki (3) Deposit Account Number 50-1147 1051 190 2051 65 Surcharge - late filling fee or oath 1052 50 2062 25 Surcharge – late provisional filing fee or cover sheet. 1053 130 1053 130 Non-English specification POSZ & BETHARDS, PLC Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 2,520 1812 2,520 1812 For filing a request for reexamination 9201 1804 1804 920\* Requesting publication of SIR prior to Payment Enclosed: Examiner action 1.840 1.840 1805 1805 Requesting publication of SIR after Check Money Other Examiner action 1251 110 2251 55 Extension for reply within first month **FEE CALCULATION** 1. BASIC FILING FEE 1252 410 2252 205 Extension for reply within second month 1253 930 2253 460 Extension for reply within third month Large Entity Small Entity Fee (\$) e Description 1254 1450 2254 725 Extension for reply within fourth month (\$) 1255 1970 2255 **BAR** Extension for reply within fifth month 1001 750 2001 375 Utility filing fee 1401 320 2401 160 Notice of Appeal 320 1002 330 2002 Design filing fee 165 520 2003 1400 320 2402 160 Fliing a brief in support of an appeal 1003 260 Plant filing fee 1403 280 2403 140 Request for oral hearing 750 2004 375 Reissue filing fee 1004 1005 2005 Provisional filling fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 160 80 1452 2452 Petition to revive - unavoidable 110 SUBTOTAL (1) 1453 2453 650 Petition to revive - unintentional 1.800 0 **(\$)** 2501 Utility Issue fee (or relesue) 2. EXTRA CLAIM FEES 1501 1300 650 Fee from 1502 470 2502 235 Design issue fee Extra Claima Fee Paid -0 1503 630 2503 315 Plant issue lee 18 Total Claims -20\*\*= O х Independent Claims X 0 0 84 1460 130 1460 Petitions to the Commissioner 130 Muttole Dependent 1807 50 1807 50 Petitions related to provisional applications 1808 180 1806 180 Submission of information Disclosure Strnt Recording each patent assignment per property (times number of properties) 8021 40 8021 40 Fee Fee Code (\$) Filling a submission after final rejection (37 CFR § 1.129(a)) 1202 . 18 2202 9 Claims in excess of 20 1809 750 2809 375 For each additional invention to be examined (37 CFR § 1.129(b)) 1201 2201 Independent claims in excess of 3 1810 750 2810 Multiple dependent claim, if not paid 1203 280 2203 140 Other fee (specify) "Relasue Independent claims over original patent 1204 84 2204 1205 \*Reissue claims in excess of 20 and over original patent 18 2205 Other fee (specify) SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) 320 (\$)

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SUBMITTED BY	· · · · · · · · · · · · · · · · · · ·		Complete (if applicable)		
Name (Print/Type)	DAVID G. POSZ	Hagistration No. (Altomay/Agent) 37,701	Telaphone	(703) 707-9110	
Signature	DON		Deb	March 20, 2003	

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